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PTO/SB/21 (08-03)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

330

Application Number

10/682,380

Filing Date

10/08/2003

First Named Inventor

Mohamed Nourmohamadian

Art Unit

2188

Examiner Name

Padmanabhan, Mano

Attorney Docket Number

ULTERA.009A

## ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance communication to Technology Center (TC)
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
See "Remarks" for complete itemization of this submission

Remarks

- Transmittal Form in 1 page
- Information Disclosure Statement in 1 page;
- Form PTO/SB/08A in 1 page
- Form PTO/SB/08B in 1 page
- Copies of 10 Cited References in 326 pages

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

LAW OFFICE OF GLENN R. SMITH

Signature

*Glenn R. Smith*

Date

11/18/2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Glenn R. Smith

Signature

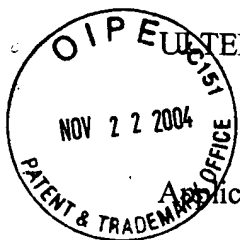
*Glenn R. Smith*

Date

11/18/2004

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ENTERA.009A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mohamad Nourmohamadian ) Group Art Unit 2188  
Appl. No. : 10/682,380 )  
Filed : October 8, 2003 )  
For : MULTI-USER VIRTUAL TAPE )  
SYSTEM )  
Examiner : Padmanabhan, Mano )

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

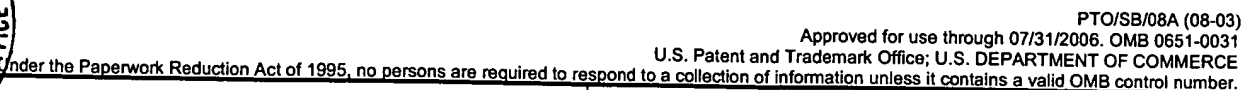
In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97-1.98, it is requested that the references listed on the attached forms PTO/SB/08A-B be made of record in the above-identified application. This submission is filed in accordance with 37 CFR 1.97(b)(3) before the mailing date of a first office action on the merits. Copies of the ten cited references are also attached herewith.

Respectfully submitted,

LAW OFFICE OF GLENN R. SMITH

Dated: 11-18-2004

By: Glenn R. Smith  
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**Complete if Known**

(Use as many sheets as necessary)

Sheet	1	of	2
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Application Number	10/682,380
Filing Date	10/08/2003
First Named Inventor	Mohamad Nourmohamadian
Art Unit	2188
Examiner Name	Padmanabhan, Mano
Attorney Docket Number	ULTRA.009A

[illegible][illegible]Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/682,380
Filing Date	10/08/2003
First Named Inventor	Mohamad Nourmohamadian
Art Unit	2188
Examiner Name	Padmanabhan, Mano
Attorney Docket Number	ULTERA.009A

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ULTERA SYSTEMS, INC.; Striper 4 Tape Series Tape Array Controller -- User Guide May 2002; Ultera Systems, Inc., Laguna Hills, CA	
		ULTERA SYSTEMS, INC.; Simply The Fastest Most Reliable Backup/Restore Solution Available 2002; Ultera Systems, Inc., Laguna Hills, CA	
		QUANTUM CORPORATION; Enhanced Backup Solutions -- Quantum DX 30 November 2002; Quantum Corporation, Irvine, CA	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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